IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):   Laurent P. Daynes

Title: LOCK DELEGATION WITH SPACE-EFFICIENT LOCK MANAGEMENT

Application No.: 09/992,721   Filed: November 14, 20 **RECEIVED**

Examiner: S. Metjahic   Group Art Unit: 2171   FEB 1 2 2003

Atty. Docket No.: 004-5639   Technology Center 2100

COMMISSIONER FOR PATENTS
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT
UNDER *37 C.F.R. § 1.97*

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

☒   Form(s) PTO-1449
☒   Other: List of Pending U.S. Patent Applications

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:
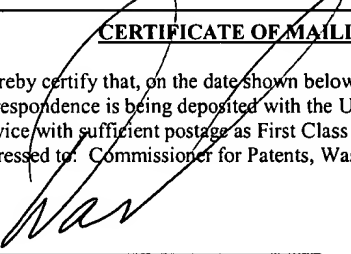
1.   an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention;

2.   a representation that a search has been made, other than as described below; or

3.   an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance through (i) an English language abstract, (ii) an English language equivalent application, or (iii) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.
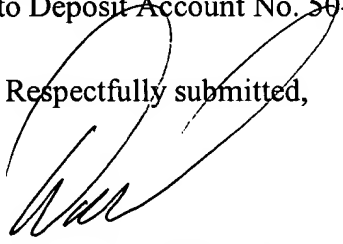
FEE AUTHORIZATION

☐   This Information Disclosure Statement is filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.

☒   The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.

    If however, this Information Disclosure Statement is filed after the period specified in § 1.97(b), the undersigned hereby authorizes the Commissioner to charge the fee set forth in § 1.17(p) to Deposit Account No. 50-0631.

Respectfully submitted,

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CERTIFICATE OF MAILING
I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231.
David W. O'Brien   2/7/03 Date

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LIST OF PENDING U.S. PATENT APPLICATIONS

FEB 1 2 2003

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The following pending U.S. patent applications are listed for consideration by the Examiner.

1) U. S. App. No. 09/714,336, filed Nov. 16, 2000, entitled "Implementation of Nesting Databases Using Flexible Locking Mechanisms," naming inventors Laurent Daynés and Ole J. Anfindsen; 60 pages.

2) U.S. App. No. 09/992,720, filed June 28, 2002, entitled "Validation Technique for Bulk Lock Delegation," naming inventor Laurent P. Daynés; 46 pages.

3) U.S. Pat. App. No. 09/663,208, filed Sept. 15, 2000, entitled "Implementation of Parameterized Locking Modes Using Ignore-Conflict Relationships," naming inventors Laurent Daynés and Ole J. Anfindsen; 49 pp.

Applicant(s) are providing a separate list of pending applications, pursuant to MPEP § 609(D), to avoid publication of the application numbers on any patent that issues from the above-identified application. Applicant(s) request that the Examiner indicate consideration of the pending applications listed above in accordance with MPEP 609(C)(2) and return a copy of this page with the next communication.